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| Substitute for form 1449A/PTO<br><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br><i>(Use as many sheets as necessary)</i> |  | <i>Complete if Known</i>        |                              |
|   |  | Application Number              | 10/722,939                   |
|   |  | Filing Date                     | November 25, 2003            |
|   |  | First Named Inventor            | Roth, Richard                |
|   |  | Group Art Unit                  | 1641                         |
|   |  | Examiner Name                   | To Be Assigned <i>Sithon</i> |
| Sheet 1 of 1  |  | Attorney Docket No: SEQ-4071-UT |                              |

*SEP 28 2005*  
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### US PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| <i>Op</i>          | A1.                   | US 5,547,835        | Aug-96           | Koster  |   |
|                    | A2.                   | US 5,605,798        | Feb-97           | Koster  |   |
|                    | A3.                   | US 5,691,141        | Nov-97           | Koster  |   |
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| <i>W</i>           | A9.                   | US 6,258,538        | Jul-01           | Koster et al.                                   |   |

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| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>2</sup> |
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|                    | A10.                  |                     |                  |   |   |                |

### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

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| <i>W</i>           | A17.                  | NCBI SNP database, NCBI, NLM, NIH (Bethesda MD, USA) Accession # 1134985, NCBI Assay ID (ss#) 1536884 Sept, 13, 2000  |                |
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EXAMINER

*Deborah Sith*

DATE CONSIDERED

*8/15/06*

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|--|------------------------|------------------------|------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>( Not for submission under 37 CFR 1.99)</i> | Application Number     |                        | 10722939   |
|  | Filing Date            |                        | 2003-11-25 |
|  | First Named Inventor   | Roth                   |            |
|  | Art Unit               |                        | 1641       |
|  | Examiner Name          | Sittou, Jehanne Souaya |            |
|  | Attorney Docket Number | SEQ-4071-UT            |            |

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| op                                  | 1       | 20020155440        |                        | 2002-10-24       | Ljumbimova et al                                |  |

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| op                       | 1       | wo01/51666                           |                           |                        | 2001-07-19       | The General Hospital                            |  | <input type="checkbox"/> |

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|                                 |         |   |  |  |  |                                       |

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| <i>P</i> | 1  | Bosher et al., Proc. Natl. Acad. Sci. USA, 92:744-747 (1995)   | <input type="checkbox"/> |
| <i>V</i> | 2  | Chen et al., Genes Chromosomes Cancer, 31:333-344 (2001)   | <input type="checkbox"/> |
|          | 3  | Ezumi et al., Biochem. Biophys. Res. Commun., 277:27-36 (2000)   | <input type="checkbox"/> |
|          | 4  | French et al., British J. Cancer, 87:1034-1041 (2002)  | <input type="checkbox"/> |
|          | 5  | Imhof et al., Mol. Cell. Biol. 19:194-204 (1999)   | <input type="checkbox"/> |
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|          | 8  | Kim et al., Biochem. Biophys. Res. Commun., 281:1106-1112 (2002)   | <input type="checkbox"/> |
|          | 9  | NCBI database, NCBI, NLM, NIH (Bethesda MD, USA) Accession # AI 199660 NCBI Assay ID ss3752266 Dec 12, 1998        | <input type="checkbox"/> |
| <i>V</i> | 10 | NCBI database, NCBI, NLM, NIH (Bethesda MD, USA) Accession # SNP rs11549918 NCBI Assay ID ss16249946 November 2003 | <input type="checkbox"/> |
| <i>C</i> | 11 | NCBI database, NCBI, NLM, NIH (Bethesda MD, USA) Accession # SNP rs6804951 NCBI Assay ID ss10090614 June 2003      | <input type="checkbox"/> |

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STATEMENT BY APPLICANT**  
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|----|---|--------------------------|
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| 13 | NCBI SNP database, NCBI, NLM, NIH (Bethesda MD, USA) Accession # SNP rs3812851 NCBI Assay ID ss4999260 (Aug 12, 2002)   | <input type="checkbox"/> |
| 14 | Roth et al., Genomics, 63:384-390 (2000)  | <input type="checkbox"/> |
| 15 | Rozenblum et al., Hum. Genet., 110:111-121 (2002)   | <input type="checkbox"/> |
| 16 | The SNP Consortium, SNP Report for TSC0850275, Sanger Center, 12/25/2000  | <input type="checkbox"/> |
| 17 | Zapata-Benavides et al., Biochem. Biophys. Res. Commun., 295(4):784-790 (2002)  | <input type="checkbox"/> |
| 18 | Zeng et al., Nat. Genet., 15(1):78-82 (1997)  | <input type="checkbox"/> |

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| Examiner Signature | <i>Jehanne Sittou</i> | Date Considered | 8/15/06 |
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